Electronic Acknowledgement Receipt				
EFS ID:	2404094			
Application Number:	09688392			
International Application Number:				
Confirmation Number:	2870			
Title of Invention:	System and method for trading taxable and non-taxable securities			
First Named Inventor/Applicant Name:	Thomas C. Naratil			
Customer Number:	21890			
Filer:	Breda Donovan/Elizabeth Tavarez			
Filer Authorized By:	Breda Donovan			
Attorney Docket Number:	74622-015			
Receipt Date:	31-OCT-2007			
Filing Date:	16-OCT-2000			
Time Stamp:	14:53:10			
Application Type:	Utility under 35 USC 111(a)			

## Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$1270
RAM confirmation Number	265
Deposit Account	162500

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl
1 Amendment After Final	Annual After Final	74600 015	817899		15
	74622-015amendment.pdf	d1eed0caabfa66d7054952db94c3e347 5cbfoda1	no	15	
Warnings:					
Information:					
2 Request for Continued Examination (RCE)	74622-015sb0030eRCE.pdf	737974	no	3	
		deb2adbdl494811dl68390049853e732 3a8ac4d5			
Warnings:					
Information:					
3 Fee Worksheet (PTO-06)	fee-info.pdf	8319	no	2	
		782b8d4d9588af193a065d895b668066 016b09a5			
Warnings:					
Information:					
		Total Files Size (in bytes):	1564192		

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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.